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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/541,776	07/08/2005	Toshihiko Ohashi	0216-0516PUS1	1474
2292 7590 12/17/2009 BIRCH STEWART KOLASCH & BIRCH PO BOX 747 FALLS CHURCH, VA 22040-0747				
EXAMINER				
CHANG, VICTOR S				
ART UNIT		PAPER NUMBER		
1794				
NOTIFICATION DATE		DELIVERY MODE		
12/17/2009		ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

Office Action Summary

Application No.

10/541,776

Applicant(s)

OHASHI ET AL.

Examiner

VICTOR S. CHANG

Art Unit

1794

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 03 December 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 3, 5 and 7-16 is/are pending in the application.
- 4a) Of the above claim(s) 7-13 and 16 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 3, 5, 14 and 15 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/06)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Introduction

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's declaration, amendments and remarks filed on 12/3/2009 have been entered. Claims 1, 14 and 15 have been amended by deleting a property limitation, which is deemed to be inherent to the same structure/composition of the claimed invention.
2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
3. The grounds of rejection not maintained are withdrawn.

Rejections Based on Prior Art

4. Claims 1, 3, 5, 14 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lange et al. [US 4816333] in view of Takahashi et al. [US 6251523].

Lange's invention [col. 2, lines 36-42; col. 3, lines 7-10; col. 4, lines 11-55; Examples 1 and 13] relates to an antireflective polymeric or glass substrate having a porous silica coating thereon. The porous coating comprises a continuous gelled network of voids between the silica particles. The gelled network is formed from a colloidal solution of silica particles. When dried, the silica coating has an open porosity of about 25 to 70 percent, and has a refractive index

between 1.20-1.30. The average primary particle size of the colloidal silica particles is less than 200 Å (20 nm), preferably less than about 70 Å to achieve good adhesion (abrasion resistant) of the coating to the substrate and antireflection properties. Fig. 2 illustrates the antireflective property of a silica coated polyethylene terephthalate (PET) film.

For claims 1 and 3, Lange lacks a teaching of making an antireflection coating from a colloidal solution comprising chain silica fine particles (moniliform silica strings). However, Takahashi's invention relates to a coating on glass windows having small reflectivity (antireflection) at high incident angle for an improved visibility. The coating is formed from a colloidal solution comprising chain silica fine particles and 5 to 30 wt% silica (colloidal forming hydrolysable silane) based on the weight of said chain silica fine particles [col. 1, ll. 55-61]. Space (void or pore) is formed between the mutually adjacent chain silica fine particles in the coating. The coating has a refractive index of 1.25 to 1.40. Dents and projections caused by the chain silica fine particles are formed on the surface of the coating [col. 1, ll. 66 through col. 2, ll. 8]. A large number of gaps of 5 to 20 nm width are formed between the adjacent chain fine particles in the coating. These large numbers of gaps have exceedingly large gross volume in comparison with the gaps made on supposition of using the same amount of spherical silica fine particles in place of the chain silica fine particles. The low refractive index of the coating approaches the theoretical value (1.225) required for obtaining zero reflectance for glass substrate [col. 2, ll. 20-37]. The void volume (porosity) of the coating is between 50 to 80% [col. 2, ll. 48]. The size of the chain silica fine (primary) particles is preferably of an average diameter of 10 to 20 nm and an average length of 60 to 200 nm. The silica dent and projection film is formed by drying at a temperature in the range of room temperature to 200°C for 1 minute

to 2 hours. According to necessity, heat treatment may be given at a temperature between 400°C and 750°C for 5 seconds to 5 hours, by which the silica dent and projection film on the surface of the glass substrate becomes strong [col. 7, ll. 28-34]. It would have been obvious to one of ordinary skill in the art to modify Lange's coating with Takahashi's chain silica fine particles, motivated by the desire to obtain a coating with an improved low reflectance approaching zero, i.e., an improved antireflection. Regarding the hardness, minimum reflectance and the equation describing the structural relationship between various structural elements of the coating, since the collective teachings of prior art render the general structure and composition, and the process of making of the claimed invention obvious, these properties are deemed to be obvious routine optimization to one skilled in the art, motivated by the desire to obtain the required properties for the same end use as the claimed invention.

For claim 5, Lange teaches the same PET substrate for the same use as the instant invention. The hardness of the substrate is deemed to be inherent to the PET film.

For claims 14 and 15, since they are of the same scope as claims 1 and 3, they are also rejected for the same reasons as set forth above. Regarding the product-by-process limitations, since they have not been shown on the record to produce a patentably distinct article, the formed articles are rendered prima facie obvious, and the process limitations at the present time have not been given patentable weight.

Response to Argument

5. Referring to formula (1) in claim 1, applicants argue at Remarks page 3:

“Takahashi has no teaching or suggestion about such a specific large pore characteristics and, let alone, the excellent effects achieved thereby.”

However, regarding the equation describing the structural relationship between various structural elements of the coating, since the collective teachings of prior art render the general structure, composition, and process of making of the claimed invention obvious, the structural relationships expressed in formula (1) are deemed to be obvious routine optimization to one skilled in the art, motivated by the desire to obtain the required properties for the same end use as the claimed invention.

Applicants argue at pages 12-13:

“Comparative Example 6 corresponds to the Examples of Takahashi where the coating composition is heated at a temperature of 500 °C or higher, namely "500 °C for 1 hour" (col. 8, lines 47 to 52 etc.) except for the "Second Embodiment" in which heating was done "for 15 minutes at 570 °C" (col. 11, lines 20 to 25) ... Mr. Ioka points out that the porous silica layer formed in Comparative Example 6 has "a large number of gaps of 5 to 20 nm width" as described at col. 2, lines 20 to 23 of Takahashi; nevertheless, the specific large pore characteristics are not satisfied in Comparative Example 6.”

However, applicants' arguments directed to Takahashi's embodiments not relied upon are misplaced. More particularly, Takahashi teaches that “According to necessity, heat treatment *may be given* at a temperature between 400°C and 750°C for 5 seconds to 5 hours, by which the silica dent and projection film on the surface of the glass substrate becomes strong.” In other words, Takahashi teaches that the heat treatment is optional, and it would not not combinable with the PET substrate taught by Lange.

For the same reason set forth above, applicants' remaining arguments at pages 13-16 are also misplaced and therefore unpersuasive.

Conclusion

6. This is a continuation of applicant's earlier Application No. 10/541776. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to VICTOR S. CHANG whose telephone number is (571)272-1474. The examiner can normally be reached on 6:00 am - 4:00 pm, Tuesday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Sample can be reached on 571-272-1376. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Victor S Chang/
Primary Examiner, Art Unit 1794